

**UNITED STATES PATENT & TRADEMARK OFFICE**

Re: Application of: Toru IMAMURA, et al.  
Serial No.: 09/121,017  
Filed: July 22, 1998  
For: **HEPARIN-BINDING PROTEINS MODIFIED  
WITH SUGAR CHAINS, METHOD OF  
PRODUCING THE SAME AND  
PHARMACEUTICAL COMPOSITIONS  
CONTAINING THE SAME**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

BOX: MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

November 16, 1998


Sir:

In response to the Notice of Missing Parts dated August 18, 1998, a copy of which is enclosed, please find an executed Declaration/Power of Attorney form signed by the inventors, an English translation of the application, and a check for \$1,050.00 covering the basic filing fee, the surcharge and the English translation filing fee.

If any additional fees are deemed to be due at this time, the Assistant Commissioner is authorized to charge payment of the same to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

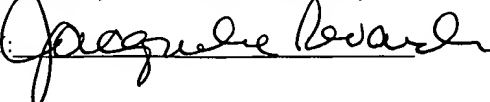
DAVIDSON, DAVIDSON &amp; KAPPEL, LLC

By   
Clifford M. Davidson  
Reg. No. 32,728

Davidson, Davidson & Kappel, LLC  
1140 Avenue of the Americas, 15th Floor  
New York, New York 10036  
(212) 997-1028

I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, DC 20231" on November 16, 1998.

DAVIDSON, DAVIDSON &amp; KAPPEL, LLC

BY: 

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Toru IMAMURA et al.

SERIAL NO: 09/121,017

FILED: July 22, 1998

*attached  
to Paper 4  
drawings  
in English  
attached  
to this  
Paper*



FOR: SUGAR CHAIN-ADDED HEPARIN-BINDING PROTEIN, METHOD FOR PRODUCING  
THE SAME AND PHARMACEUTICAL COMPOSITION CONTAINING THE SAME

CERTIFICATION OF TRANSLATION

HONORABLE COMMISSIONER OF PATENTS & TRADEMARKS  
WASHINGTON, D.C. 20231

SIR:

Setsuko Mayama, a translator residing at 8-3-7, Minami-Rinkan,  
Yamato-shi, Kanagawa, Japan hereby states:

(1) that I am fluent in both the Japanese and English languages;  
(2) that I translated the attached document identified as  
corresponding to U.S. Application No. 09/121,017 filed in the United  
States on July 22, 1998 from Japanese into ENGLISH;

(3) that the attached English translation is a true and correct  
translation of the document attached thereto to the best of my  
knowledge and belief; and

(4) that all statements made of my own knowledge are true and  
that all statements made on information and belief are believed to be  
true, and further that these statements are made with the knowledge  
that willful false statements and the like are punishable by fine or  
imprisonment, or both under 18 USC 1001, and that such false statements  
may jeopardize the validity of the application or any patent issuing  
thereon.

DATE: November 10, 1998

BY: *S. Mayama*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/121,017	07/22/98	IMAMURA	T 382.1019

CLIFFORD M DAVIDSON  
DAVIDSON DAVIDSON & KAPPEL  
1140 AVENUE OF THE AMERICAS  
5TH FLOOR  
NEW YORK, NY 10036

242/0818

NOT ASSIGNED

0000

DATE MAILED:

08/18/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 790.00.

- ☒ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

12/11/1998 09/121,017 00000000 500552 09121017

- ☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☒ 9. OTHER: Need English Translation \$130.00

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

11/27/1998 LSNEED 00000022 09121017

130.00 OP  
790.00 OP  
130.00 OP

01 FC:105  
02 FC:104  
03 FC:139